

Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) EP 1 084 727 A2

(12) EUROPEAN PATENT APPLICATION

(43) Date of publication:  
21.03.2001 Bulletin 2001/12

(51) Int. Cl.<sup>7</sup>: A61M 16/20

(21) Application number: 00120422.1

(22) Date of filing: 18.09.2000

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

(30) Priority: 20.09.1999 NZ 33795099

(71) Applicant:  
FISHER & PAYKEL LIMITED  
Auckland (NZ)

(72) Inventors:  
• Jeffrey, Craig Robert  
Mairangi Bay, Auckland (DE)  
• Woolmore, Graeme  
Pukekohe, Auckland (NZ)  
• Newland, Anthony James  
Glendowie, Auckland (NZ)

(74) Representative:  
Brown, John David et al  
FORRESTER & BOEHMERT  
Franz-Joseph-Strasse 38  
80801 München (DE)

(54) Breathing assistance apparatus

(57) A pressure regulator (134) is disclosed for regulating the expiratory flow (130) in a CPAP system (118). The regulator (134) comprises submerging a tube (136) into a column of water (138). Improvements

are included for adjusting the level to which the tube is submerged (146) and for ensuring constant water level (218).

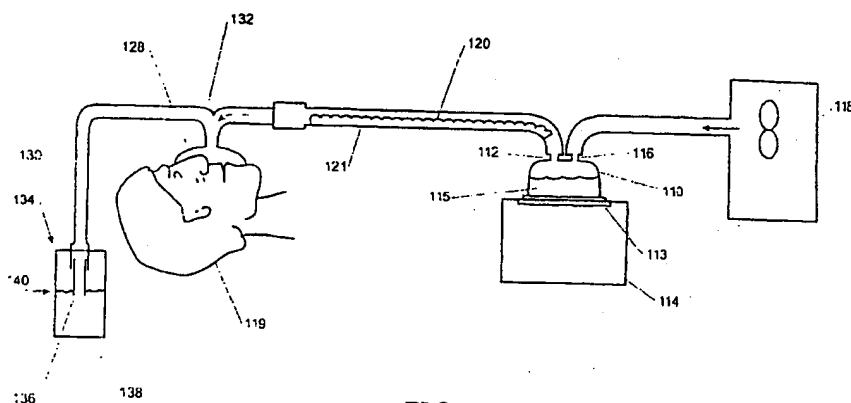


FIGURE 1

EP 1 084 727 A2

## Description

## FIELD OF INVENTION

[0001] The present invention relates to the use of a pressure regulator in conjunction with a breathing assistance apparatus, particularly though not solely, for regulating the pressure of gases supplied to a patient from a humidified Positive End Expiratory Pressure (PEEP) apparatus.

## BACKGROUND ART

[0002] The use of a medical apparatus to facilitate breathing is well known in the art. The apparatus may take the form of a simple oxygen mask or tent which supplies oxygen at slightly above atmospheric pressure. Such devices merely assist a person to breath and work with the person's lungs.

[0003] Ventilators which operate at high frequency have been suggested in the past. There are two types of high frequency ventilators known in the art. One type, as exemplified by US Pat. No. 2,918,917 (Emerson), employs a reciprocating diaphragm to vibrate a column of gas supplied to a subject. The vibration is in addition to the subject's respiration, natural or artificial, and at a much more rapid rate, for example, from 100 to more than 1500 vibrations per minute. The Emerson apparatus is primarily designed to vibrate the patient's airway and organs associated therewith, although Emerson also recognized that high frequency vibration causes the gas to diffuse more rapidly within the airway and therefore aids the breathing function. However, the Emerson apparatus is incapable of supporting the patient's full ventilation and must be used in conjunction with the patient's spontaneous breathing or with another apparatus which produces artificially induced inhalation and exhalation.

[0004] The second type of high frequency ventilator is the jet pulse ventilator as exemplified in US Patent No. 4,265,237 (Schwanbom et al.). The Schwanbom et al. ventilator produces high frequency, high pressure pulses of air which are capable of fully ventilating a patient. The respiration pulse enters with a pressure of 0.2 bar to 2.7 bar. This pressure is sufficient to expand the lungs during inspiration. Expiration is caused by the natural compliance of the lungs after the jet of air is stopped. Accordingly, it can be seen that Schwanbom et al must rely on the compliance of the lungs in order to fully ventilate the patient. If the lung compliance is low, greater pressure must be used. Schwanbom et al also supply a source of lower pressure gas for spontaneous breathing by the patient. While such jet pulse ventilators are useful for some applications, they are not generally applicable and their use is limited mostly to experimental work.

[0005] An improvement on these types is disclosed in US Patent No. 4,821,709 (Jensen) a which provides

high frequency oscillations in the gases supplied to a patient using a flexible diaphragm. Jensen provides a more practical method of ventilating a patient without spontaneous breathing of the patient, or the need for a separate ventilator. US Patent No. 4,646,733 (Strot et al.) proposes an apparatus for producing high frequency oscillations in gases supplied to a patient using a valve controlling the exhaled gases.

[0006] It would be desirable to have a simple system for providing high frequency pressure oscillations for spontaneously breathing patients particularly for non invasive forms of support, where the means level of gases provided to the patient can be adjusted.

## DISCLOSURE OF INVENTION

[0007] It is an object of the present invention to provide a pressure regulator which goes some way to overcoming the above-mentioned disadvantages, or which will at least provide the healthcare industry with a useful choice.

[0008] Accordingly, in a first aspect, the present invention consists in a pressure regulating device for use with a breathing assistance apparatus which conveys inhalatory gases to, and removes exhalatory gases from a patient requiring breathing assistance, characterised in that said device comprising:

a container which in use includes a body of liquid, terminal conduit means including proximate and distal ends, said proximate end adapted for connection to a breathing assistance apparatus and in use accepting exhalatory gases therefrom, and said distal end submerged in said body of liquid, such that in use the mean pressure of said inhalatory gases supplied to a patient is adjusted by the level to which said distal end is submerged in said body of water.

[0009] In a second aspect, the present invention consists in A breathing assistance apparatus for supplying gases to a patient to assist said patient's breathing including gases supply means adapted to supply gases to said patient, delivery means including a plurality of ports adapted to deliver said flow of gases to said patient, inhalatory gases transport means for conveying said flow of gases from said gases supply means to said delivery means, exhalatory gases transport means for conveying said patient exhalations from said delivery means,

characterised in that a pressure regulating device is disposed within or in fluid communication with said exhalatory gases transport means, said pressure regulating device comprising:

a container which in use includes a body of liquid, terminal conduit means including proximate and distal ends, said proximate end adapted for connection to a breathing assistance apparatus and in use accepting exhalatory gases therefrom, and said distal end submerged in said body of liquid, such that in use the mean pressure of said inhalatory gases supplied to a patient is adjusted by the level to which said distal end is submerged in said body of water.

tion to said exhalatory gases transport means and in use accepting exhalatory gases therefrom, and said distal end submerged in said body of liquid, such that in use the mean pressure of said inhalatory gases supplied to a patient is adjusted by the level to which said distal end is submerged in said body of water.

[0010] In a third aspect, the present invention consists in a pressure regulating device to use conveys inhalatory gases to, and removes exhalatory gases from a patient requiring breathing assistance,

characterised in that said device comprising

a container which in use includes a body of liquid, and terminal conduit means including proximate and distal ends, said proximate end adapted for connection to breathing assistance apparatus and accepting exhalatory gases therefrom, and said distal end submerged in said body of liquid, such that in use the resultant bubbling occurring in said body of liquid produces relatively small controlled perturbations in the pressure of inhalatory gases supplied to a patient.

[0011] To those skilled in the art to which the invention relates, many changes in construction and widely differing embodiments and applications of the invention will suggest themselves without departing from the scope of the invention as defined in the appended claims. The disclosures and the descriptions herein are purely illustrative and are not intended to be in any sense limiting.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0012]

Figure 1 is a block diagram showing a typical configuration for supplying breathing assistance to a patient,

Figure 2 is a plan view of the pressure regulator with the lid on according to the preferred embodiment of the present invention,

Figure 3 is a side view of the pressure regulator according to the preferred embodiment of the present invention,

Figure 4 is a cross-section of the pressure regulator according to the preferred embodiment of the present invention,

Figure 5 is an alternative side view of the pressure regulator according to the preferred embodiment of the present invention,

Figure 6 is a perspective view of the short conduit which extends into the water chamber according to the preferred embodiment of the present invention,

Figure 7 is a cross-section of the complete pressure

regulator according to the preferred embodiment of the present invention, and

Figure 8 is a cross-section of a further embodiment of the present invention.

## MODES FOR CARRYING OUT THE INVENTION

[0013] The present invention provides a means of producing the variations or oscillations in the pressure of gases supplied to a patient connected to a positive pressure ventilation device. By submerging the end of the exhalatory conduit into a water column the resulting bubbles generate a variation or ripple in the mean pressure of gases delivered to the patient. In doing so it also provides a simple method of varying the mean pressure of gases supplied to the patient by variation of the level to which the end of the exhalatory conduit is submerged within the water column. In order to keep the mean pressure of gases supplied to the patient constant the level of submergence of the end of the exhalatory conduit must be kept constant and an apparatus for ensuring this occurs is also disclosed.

[0014] Referring now to Figure 1 in which a typical application is depicted. A humidified Positive End Expiratory Pressure (PEEP) system is shown in which a patient 119 is receiving humidified and pressurised gases through a nasal mask 128 connected to an inhalatory conduit 121. It should be understood that the present invention, however, is not limited to the delivery of PEEP gases but is also applicable to other types of gases delivery systems and may not necessarily involve humidification. Inhalatory conduit 121 is connected to the outlet 112 of a humidification chamber 110 which contains a volume of water 115. Inspiratory conduit 121 may contain heating means or heater wires 118 which heat the walls of the conduit to ensure a constant humidity profile along the conduit and therefore reduce condensation of humidified gases within the conduit. As the volume of water 115 within humidification chamber 110 is heated, water vapour begins to fill the volume of the chamber above the water's surface and is passed out of the humidification chamber 110 outlet 112 with the flow of gases (for example air) provided from a gases supply means or blower 118 which enters the chamber 110 through inlet 116.

[0015] The humidified gases pass through the inhalatory conduit 121 to the mask 128 attached around the patient's 119 mouth. The excess gases then flow through the exhalatory conduit 130 to a pressure regulator 134.

### Pressure Regulator

[0016] In the preferred embodiment of the present invention the pressure regulator 134, takes the form of discharging the flow of exhalatory gases into a chamber 204 containing a column of water 138. The gases flowing through the exhalatory conduit 130 are discharged

into the body of water 138 from a short conduit 136 which extends from the expiratory conduit into the chamber 204. This results in a bubbling effect, whereby the gases eventually exit the chamber 204 via the outlet port 152, which can also be used to initially fill the chamber 204 with water. The outlet port 152 includes shielding to prevent liquid aerosols created by the vigorous bubbling on the surface of the water from being expelled. It will be appreciated that the short conduit 136, could equally be integrated into the end of the expiratory conduit 130.

[0017] Referring now to Figures 2 through to 7, the pressure regulator 134 and associated components are seen in more detail. The exhalatory conduit (130, Figure 1) fits into the end of the short conduit 136 which in turn is attached to the lid 144 of the water chamber 142 via connector 146. The connector 146 includes a number of resilient ridges or plastic toggles 148 which lock into annular grooves 150 in the short conduit 136 to keep it locked in a desired position during use. The chamber 204 is filled with a body of water 138 up to a predetermined level 140. It will be appreciated that any appropriate liquid could be used instead of water.

[0018] It will be appreciated that for control over the mean pressure of supplied gases it is necessary to vary the level of which the short conduit 136 is submerged in the body of water 138. Stepped variations in the pressure of gases delivered to the patient of  $\frac{1}{2}$  cm H<sub>2</sub>O each, are thought adequate for most applications, and is achieved by spacing each of the annular grooves 150  $\frac{1}{2}$  cm apart. A contoured gripping portion 147 is provided at the end of the short conduit 136 which attaches to the exhalatory conduit 130, to allow easy adjustment. In one embodiment, the pressure is adjustable over a range from 4-8 cm H<sub>2</sub>O but it will be appreciated that this can be modified to requirements. The pressure regulator according to the preferred embodiment of the present invention is shown in Figure 7, adjusted to its highest pressure setting. The settings could be indicated by a number above each groove 150 on the short conduit 136, which would be visible above the connector 146.

#### Constant Water Level

[0019] In the preferred embodiment, the present invention is used in conjunction with a humidified PEEP respirator. As such, the exhalatory gases will have quite high levels of humidity, some at which will inevitably condense in the body of water 138 in the pressure regulator 134. Thus, over time the volume of water in the water chamber 204 will rise and if unchecked will result in rising pressure of gases supplied to the patient and resultant adverse side effects. To ensure the water level is kept constant the water chamber 204 is provided with an overflow facility 218 also seen in Figures 2 to 7.

[0020] Because of the vigorous bubbling occurring at the top of the body of water a simple lip over which

excess liquid can flow would be ineffective and therefore some form of filtering or damping is required. In order to mitigate the effect of the vigorous bubbling near the top of the chamber 200 a main outlet port 202 from the main chamber 204 is provided at a substantially lower level than where the bubbles would normally be expected to occur. However, the bubbling also causes pressure waves throughout the body of the liquid. These pressure waves would normally be reflected through the main outlet port 202 into the levelling chamber 206 and therefore result in more water escaping than it is desired. To alleviate the effect of the pressure waves a wave shield 208 is located in an intermediate position between the upper level of the water 210 and the main outlet port 202. This masks the outlet port 202 from the majority of the pressure waves due to the surface bubbling.

[0021] This effectively means that the water level in the levelling chamber 206 is relatively calm and substantially representative of the mean (as opposed to the instantaneous) water level in the main chamber 204. The water level in the intermediate overflow chamber 206 in turn is regulated by an overflow port 212 situated on a raised adjacent platform 214. The overflow port 212 is surrounded by a slightly cylindrical raised partition 216 in order to overcome the effect of any small remaining waves in the intermediate overflow chamber 206.

[0022] The water then flows into the detachable overflow container 218 which when full may be detached in use and emptied. Both the main chamber 204 and the intermediate overflow chamber 206 are integrally injection moulded using a clear plastic. The separate overflow container 218, is also injection moulded using a clear plastic as is the separate short conduit 136.

#### Further Embodiments

[0023] It will also be appreciated that the apparatus used to vary the mean water level in the main chamber may take a number of forms. While in the preferred embodiment a slidable conduit is used, other forms such as a concertina baffle or rotatable conduit, for example, would be equally applicable. It will also be appreciated further forms of the overflow facility will be possible. For example the further embodiment shown in Figure 8, uses a thin slot 162 to pass water into a second chamber 160, where baffles smooth any variations before the overflow opening 166 into the overflow chamber 168.

#### Advantages

[0024]

- Allows easy adjustment of the mean pressure level.
- Allows high frequency pressure oscillations for spontaneously breathing patients.

- Maintains constant mean pressure with low or no maintenance.
- Disposable and cheap compared with prior art ventilators.

#### Claims

1. A pressure regulating device (134) for use with a breathing assistance apparatus which conveys inhalatory gases to, and removes exhalatory gases from a patient requiring breathing assistance, **characterised in that** said device comprising:
  - a container (204) which in use includes a body of liquid (138),
  - terminal conduit means (136) including proximate and distal ends, said proximate end adapted for connection to a breathing assistance apparatus and in use accepting exhalatory gases therefrom, and said distal end submerged in said body of liquid (138), such that in use the mean pressure of said inhalatory gases supplied to a patient is adjusted by the level (140) to which said distal end is submerged in said body of water.
2. A pressure regulating device (134) as claimed in claim 1, further comprising a connection means (146) attached to said container (204) and engaging said terminal conduit means (136), whereby in use said terminal conduit means (136) may be adjusted in axial position in predetermined increments, with respect to said connection means (146).
3. A pressure regulating device (134) as claimed in claim 2 wherein said terminal conduit means (136) includes at least one partial groove (150) and said connection means (146) includes at least one matching partial resilient ridge or toggle (148).
4. A pressure regulating device (134) as claimed in either of claims 2 or 3 wherein said predetermined increments are one half centimetre each.
5. A pressure regulating device (134) as claimed in any one of claims 1 to 4 further comprising overflow means (218) for regulating the level (140) of said body of liquid (138) with respect to said container (204) to a substantially constant level.
6. A pressure regulating device (134) as claimed in claim 5 wherein said overflow means (218) also includes damping means (216) for filtering any perturbations in said level of said body of liquid, such that in use said overflow means regulates the "mean" level of said body of liquid.
7. A pressure regulating device (134) as claimed in claim 6 wherein said damping means (216) includes an outlet (202) from said container (204) which is located at a position which in use is substantially below the level (140) of said body of liquid (138), and means for reducing the pressure waves (208) at said outlet produced in use in said body of liquid by patient's exhalations flowing there-through, located at a position which in use is between the level (140) of said body of liquid and said outlet (202).
8. A pressure regulating device (134) as claimed in any one of claims 5 to 7 wherein said overflow means (218) further includes a removable container, whereby in use the overflow from said body of liquid (138) flows into said removable container.
9. A pressure regulating device (134) as claimed in claims 1 to 8 wherein said body of liquid (138) is substantially composed of water.
10. A pressure regulating device (134) as claimed in any one of claims 1 to 9 wherein said device (134) is constructed substantially from clear plastic materials.
11. A breathing assistance apparatus for supplying gases to a patient to assist said patient's breathing including gases supply means (118) adapted to supply gases to said patient, delivery means (132) including a plurality of ports adapted to deliver said flow of gases to said patient, inhalatory gases transport means (120) for conveying said flow of gases from said gases supply means (118) to said delivery means (132), exhalatory gases transport means (120) for conveying said patient exhalations from said delivery means (132), **characterised in that** a pressure regulating device is disposed within or in fluid communication with said exhalatory gases transport means, said pressure regulating device comprises a pressure regulating device (134) as claimed in any one of claims 1 to 10.
12. A breathing assistance apparatus as claimed in claim 11 further comprising humidification means (110) for humidifying said gases prior to delivery to said patient, disposed within or in fluid communication with said inhalatory gases transport means (120).
13. A pressure regulating device (134) to use conveys inhalatory gases to, and removes exhalatory gases from a patient requiring breathing assistance, **characterised in that** said device comprising

a container which in use includes a body of liquid, and

terminal conduit means including proximate and distal ends, said proximate end adapted for connection to breathing assistance apparatus 5 and accepting exhalatory gases therefrom, and said distal end submerged in said body of liquid,

such that in use the resultant bubbling occurring in said body of liquid produces relatively 10 small controlled perturbations in the pressure of inhalatory gases supplied to a patient.

15

20

25

30

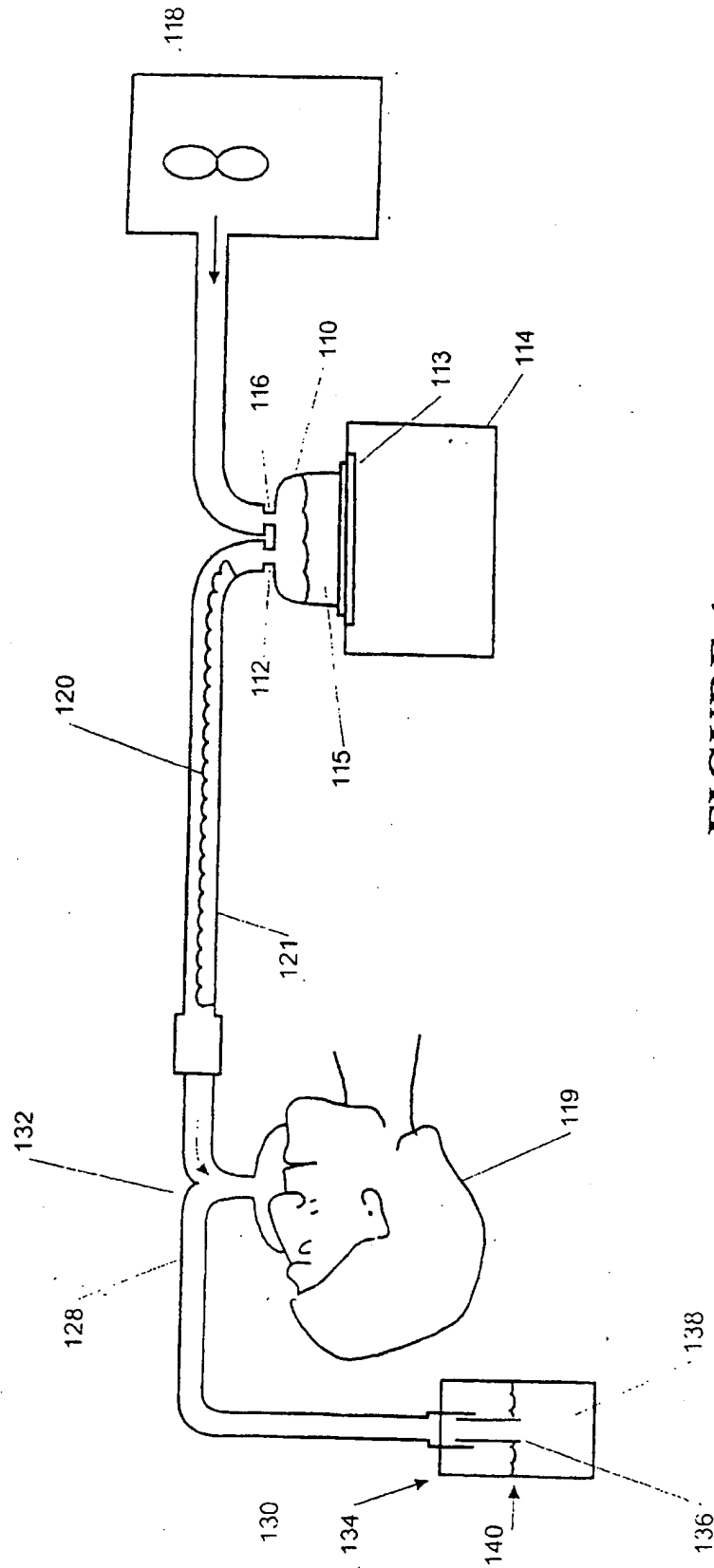
35

40

45

50

55



**FIGURE 1**

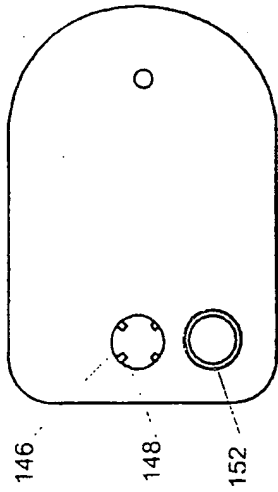


FIGURE 2

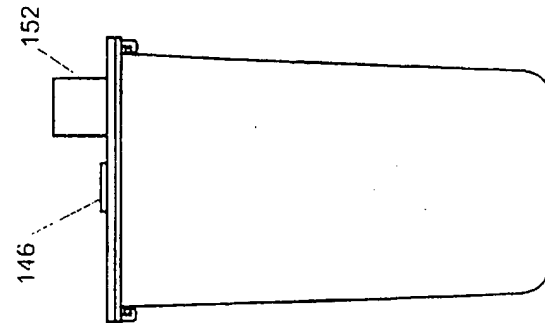


FIGURE 3

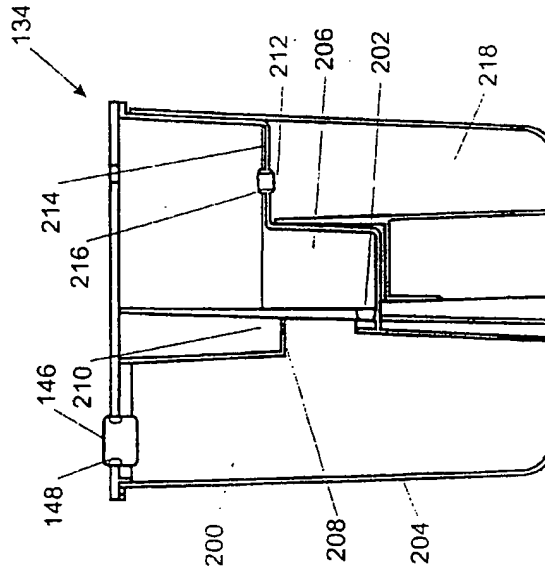


FIGURE 4

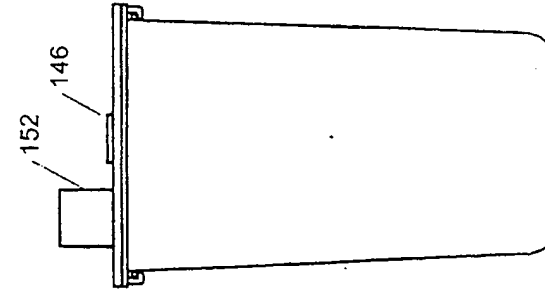
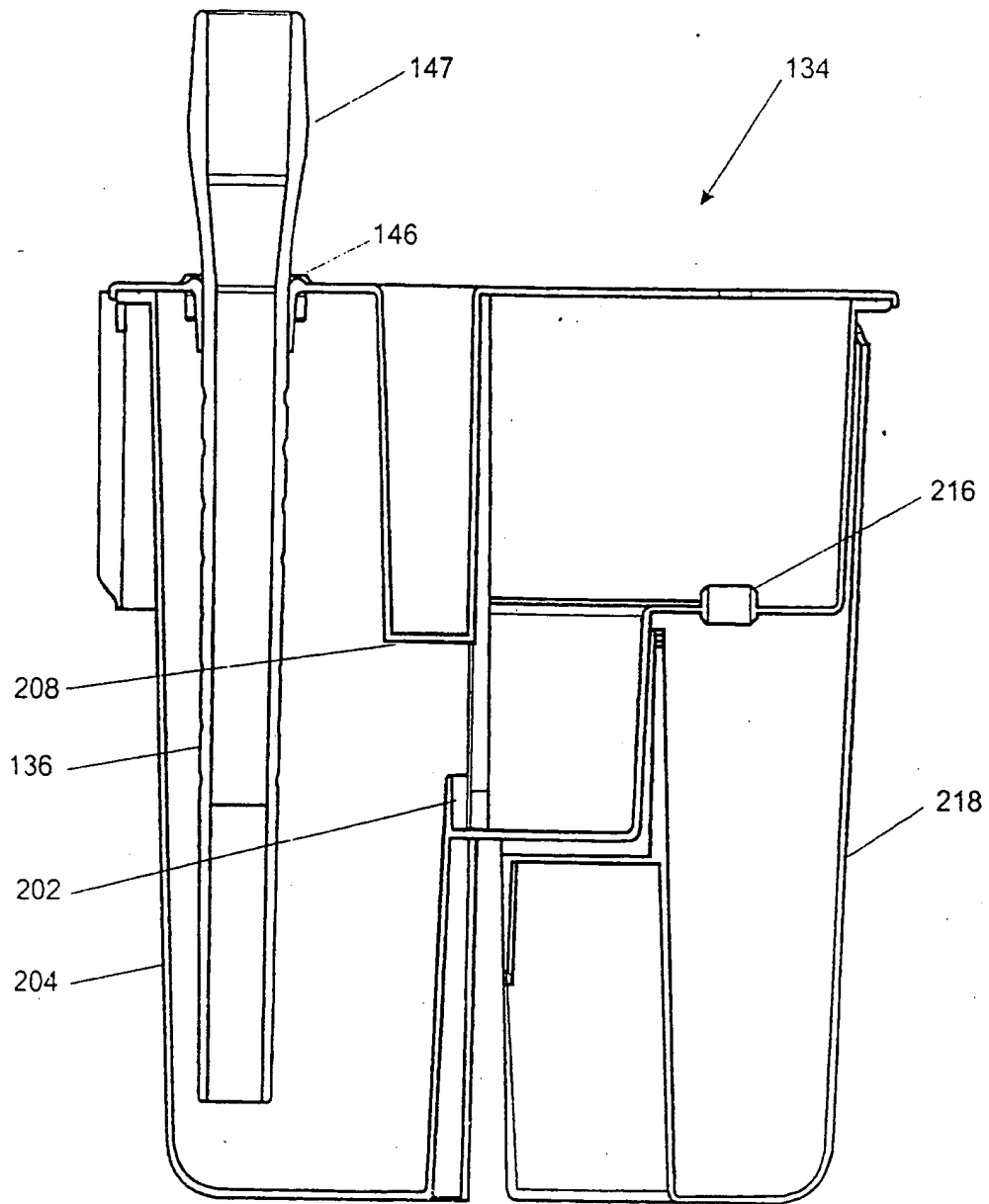
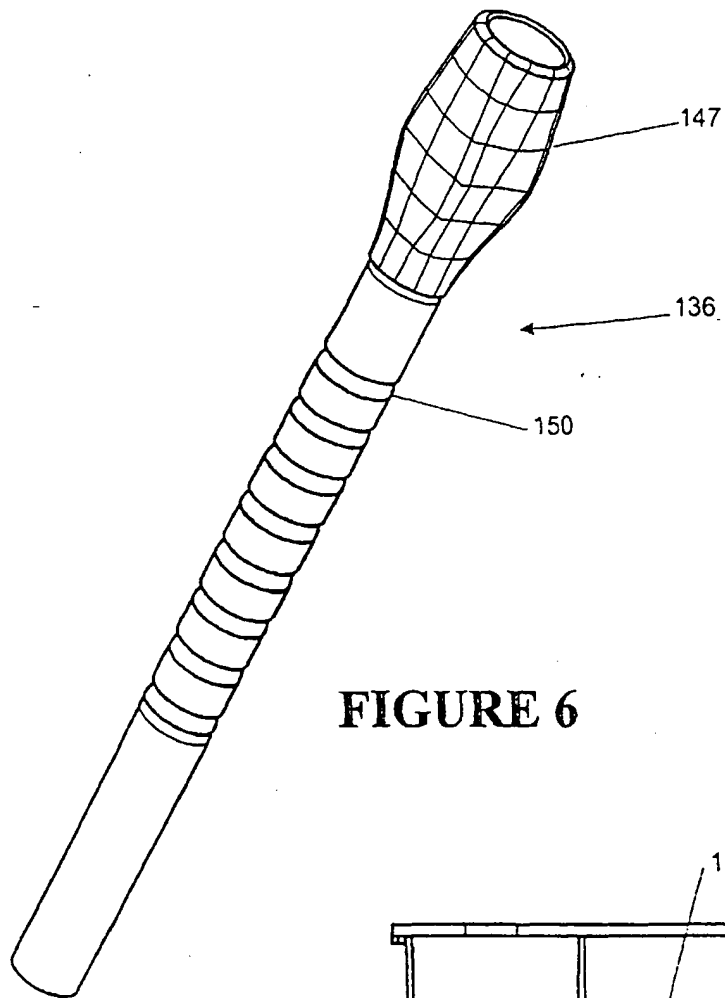


FIGURE 5

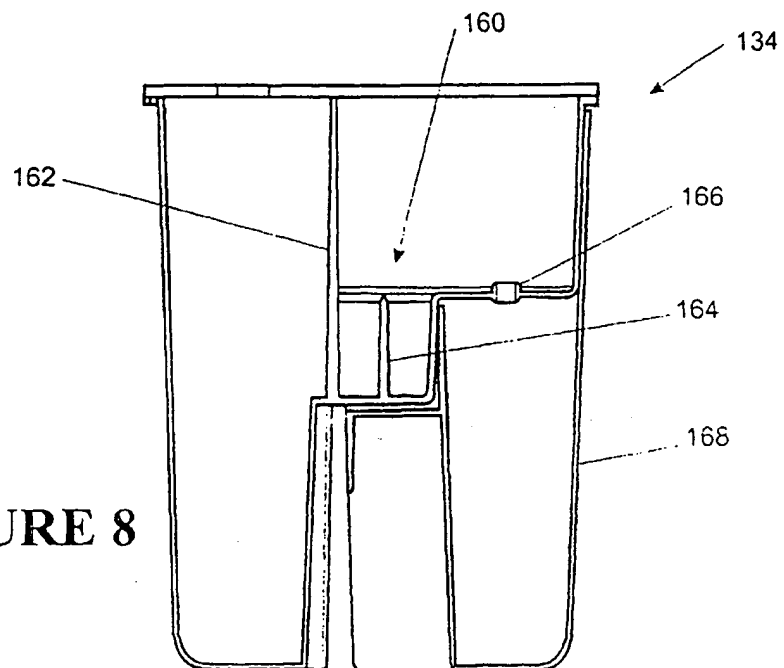




**FIGURE 7**



**FIGURE 6**



**FIGURE 8**